



# 結好證券有限公司

## GET NICE SECURITIES LIMITED

### ACCOUNT OPENING FORM – INDIVIDUAL/JOINT

開戶申請書 — 個人 / 聯名

Account No.:  
戶口號碼:

- Individual Account 個人戶口                       Joint Account 聯名戶口
- Cash Account 現金戶口                               Margin Account 保證金戶口

#### Individual / Primary Applicant's Personal Information 個人/主要申請人之個人資料

Name 姓名			<input type="checkbox"/> Mr. 先生	<input type="checkbox"/> Mrs. 女士	<input type="checkbox"/> Miss 小姐
In English 英文			In Chinese 中文		
HKID / Passport No. 香港身份證/護照號碼			Nationality 國籍		
Date of Birth 出生日期 (dd/mm/yyyy 日/月/年)			Telephone No. 電話號碼		
Fax No. 傳真號碼			Mobile Phone No. 手提電話號碼		
E-mail Address 電郵地址					
Residential Address ( P.O. Box not accepted ) 住宅地址(不接受郵政信箱)					
Correspondence Address ( P.O. Box not accepted ) 通訊地址(不接受郵政信箱)					
Nature of Occupancy 住宅類別					
<input type="checkbox"/> Owned (No mortgage) 自置 (無按揭)		<input type="checkbox"/> Mortgaged / Monthly Installment 按揭/每月供款 HK\$ _____			
<input type="checkbox"/> Rented / Monthly Rental 租用/每月租金 HK\$ _____		<input type="checkbox"/> With Parents 與父母同住			
<input type="checkbox"/> Relative's property 親屬樓宇		<input type="checkbox"/> Quarters 宿舍			
Employment Status 就業情況					
<input type="checkbox"/> Self-employed 自僱		<input type="checkbox"/> Full-time employed 全職		<input type="checkbox"/> Part-time employed 兼職	
<input type="checkbox"/> Retired 退休					
<input type="checkbox"/> Other (Please Specify 其他(請註明): _____					
Employer Name 僱主名稱			Nature of Business 業務性質		
Office Phone No. 公司電話		Year(s) with Existing Employer 已任職年期		Position 職位	
Office Address 公司地址					

#### Individual / Primary Applicant's Financial Profile and Investment Objective 個人/主要申請人之財務紀錄及投資目標

<b>Estimated Annual Income 估計每年收入</b> <input type="checkbox"/> Under HK\$200,000 以下 <input type="checkbox"/> HK\$200,000 - HK\$500,000 <input type="checkbox"/> HK\$500,001 - HK\$1,000,000 <input type="checkbox"/> HK\$1,000,001 - HK\$5,000,000 <input type="checkbox"/> Above HK\$5,000,000 以上 <input type="checkbox"/> Other Income Source 其他收入來源: _____		<b>Approximate Net Asset Value (Total Assets less Total Liabilities) 估計資產淨值 (總資產減總負債)</b> <input type="checkbox"/> Under HK\$500,000 以下 <input type="checkbox"/> HK\$500,000 - HK\$3,000,000 <input type="checkbox"/> HK\$3,000,001 - HK\$8,000,000 <input type="checkbox"/> HK\$8,000,001 - HK\$30,000,000 <input type="checkbox"/> Above HK\$30,000,000 以上	
<b>Educational Background 教育程度:</b> <input type="checkbox"/> Primary School 小學 <input type="checkbox"/> Secondary School 中學 <input type="checkbox"/> University or above 大學或以上 <input type="checkbox"/> Others 其他: _____			
<b>Source of Income 收入來源:</b> <input type="checkbox"/> Salary 工資 <input type="checkbox"/> Commission 佣金 <input type="checkbox"/> Investment 投資 <input type="checkbox"/> Business Profit 商業利潤 <input type="checkbox"/> Savings 儲蓄 <input type="checkbox"/> Others 其他: _____			
<b>Investment Experience 投資經驗:</b> <input type="checkbox"/> Less than 1 Year 少於1年 <input type="checkbox"/> 1-5 Years 年 <input type="checkbox"/> 6-10 Years 年 <input type="checkbox"/> Over 10 Years 超過10年			
<b>Investment Objectives 投資目標:</b> <input type="checkbox"/> Capital Growth 資本增值 <input type="checkbox"/> Dividend Income 股息收入 <input type="checkbox"/> Hedging 對沖 <input type="checkbox"/> Speculation 投機			
<b>Investment Products 投資產品:</b> <input type="checkbox"/> Stock 股票 <input type="checkbox"/> Futures 期貨 <input type="checkbox"/> Options 期權 <input type="checkbox"/> Bond 債券 <input type="checkbox"/> Mutual Fund/Unit Trust 基金 <input type="checkbox"/> Other Derivatives 其他衍生工具			

**Secondary Applicant's Personal Information (For Joint Account) 第二申請人之個人資料 (適用於聯名戶口)**

Name 姓名 <input type="checkbox"/> Mr. 先生 <input type="checkbox"/> Mrs. 女士 <input type="checkbox"/> Miss 小姐		
In English 英文		In Chinese 中文
HKID / Passport No. 香港身份證/護照號碼		Nationality 國籍
Date of Birth 出生日期 (dd/mm/yyyy 日/月/年)		Telephone No. 電話號碼
Fax No. 傳真號碼		Mobile Phone No. 手提電話號碼
E-mail Address 電郵地址		
Residential Address ( P.O. Box not accepted ) 住宅地址(不接受郵政信箱)		
Correspondence Address ( P.O. Box not accepted ) 通訊地址(不接受郵政信箱)		
Nature of Occupancy 住宅類別 <input type="checkbox"/> Owned (No mortgage) 自置 (無按揭) <input type="checkbox"/> Mortgaged / Monthly Installment 按揭/每月供款 HK\$ _____ <input type="checkbox"/> Rented / Monthly Rental 租用/每月租金 HK\$ _____ <input type="checkbox"/> With Parents 與父母同住 <input type="checkbox"/> Relative's property 親屬樓宇 <input type="checkbox"/> Quarters 宿舍		
Employment Status 就業情況 <input type="checkbox"/> Self-employed 自僱 <input type="checkbox"/> Full-time employed 全職 <input type="checkbox"/> Part-time employed 兼職 <input type="checkbox"/> Retired 退休 <input type="checkbox"/> Other (Please Specify 其他(請註明) : _____		
Employer Name 僱主名稱		Nature of Business 業務性質
Office Phone No. 公司電話	Year(s) with Existing Employer 已任職年期	Position 職位
Office Address 公司地址		

**Secondary Applicant's Financial Profile and Investment Objective (For Joint Account) 第二申請人之財務紀錄及投資目標 (適用於聯名戶口)**

<b>Estimated Annual Income 估計每年收入</b> <input type="checkbox"/> Under HK\$200,000 以下 <input type="checkbox"/> HK\$200,000 – HK\$500,000 <input type="checkbox"/> HK\$500,001 – HK\$1,000,000 <input type="checkbox"/> HK\$1,000,001 – HK\$5,000,000 <input type="checkbox"/> Above HK\$5,000,000 以上 <input type="checkbox"/> Other Income Source 其他收入來源: _____		<b>Approximate Net Asset Value (Total Assets less Total Liabilities) 估計資產淨值 (總資產減總負債)</b> <input type="checkbox"/> Under HK\$500,000 以下 <input type="checkbox"/> HK\$500,000 – HK\$3,000,000 <input type="checkbox"/> HK\$3,000,001 – HK\$8,000,000 <input type="checkbox"/> HK\$8,000,001 – HK\$30,000,000 <input type="checkbox"/> Above HK\$30,000,000 以上	
<b>Educational Background 教育程度 :</b> <input type="checkbox"/> Primary School 小學 <input type="checkbox"/> Secondary School 中學 <input type="checkbox"/> University or above 大學或以上 <input type="checkbox"/> Others 其他 : _____			
<b>Source of Income 收入來源 :</b> <input type="checkbox"/> Salary 工資 <input type="checkbox"/> Commission 佣金 <input type="checkbox"/> Investment 投資 <input type="checkbox"/> Business Profit 商業利潤 <input type="checkbox"/> Savings 儲蓄 <input type="checkbox"/> Others 其他 : _____			
<b>Investment Experience 投資經驗 :</b> <input type="checkbox"/> Less than 1 Year 少於1年 <input type="checkbox"/> 1-5 Years 年 <input type="checkbox"/> 6-10 Years 年 <input type="checkbox"/> Over 10 Years 超過10年			
<b>Investment Objectives 投資目標 :</b> <input type="checkbox"/> Capital Growth 資本增值 <input type="checkbox"/> Dividend Income 股息收入 <input type="checkbox"/> Hedging 對沖 <input type="checkbox"/> Speculation 投機			
<b>Investment Products 投資產品 :</b> <input type="checkbox"/> Stock 股票 <input type="checkbox"/> Futures 期貨 <input type="checkbox"/> Options 期權 <input type="checkbox"/> Bond 債券 <input type="checkbox"/> Mutual Fund/Unit Trust 基金 <input type="checkbox"/> Other Derivatives 其他衍生工具			

**Signing Arrangement 簽署安排 (Only Applicable to Joint Account Applicants 只適用於聯名戶口申請者)**

You decide that the valid document in connection with the operation (including fund withdrawal and asset transfer) of your account must be signed by any \_\_\_\_ of account holders.

閣下決定所有戶口操作 (包括提款及資產轉移) 的有效文件必須由其中 \_\_\_\_ 位戶口持有人簽署。

**Account Applicant's Banker 戶口申請人之銀行**

( Funds will be transferred to the following account pursuant to your fund withdrawal instruction. 款項將根據客戶的提款指示轉入以下戶口)

Banker's Name 銀行名稱:

Account Name 帳戶名稱:

Account No. 帳戶號碼:

**Method of Receiving Account Statement 收取戶口結算單方法**

- \* By E-mail 電郵方法  \* By facsimile 傳真方法
- By post to residential address 郵寄至住宅地址  By post to business address 郵寄至公司地址
- By post to correspondence address 郵寄至通訊地址

(\* if e-mail or facsimile is chosen, the account statement will not be sent by post. 如選擇以電郵或傳真方法收取, 戶口結算單將不會同時郵寄給客戶.)

**Account Applicant's Other Information 戶口申請人之其他資料**

Are you the ultimate beneficial owner(s) of the account? 閣下是否此戶口之最終實益擁有人?

- Yes 是
- No, please provide details of the ultimate beneficial owner(s) 否, 請提供最終實益擁有人的詳細資料:

Name 姓名 \_\_\_\_\_ ID / Passport No. 身份證/護照號碼 \_\_\_\_\_

Contact No. 聯絡電話 \_\_\_\_\_ Date of Birth 出生日期 (dd/mm/yyyy 日/月/年) \_\_\_\_\_ Nationality 國籍 \_\_\_\_\_

Address 地址 \_\_\_\_\_ Relationship 關係: \_\_\_\_\_

Are you, the ultimate beneficial owner(s) of the account, a licensed / registered securities or commodities professional, or a director or an employee of a licensed / registered person (e.g. brokerage firm / bank, etc.) with the Securities and Futures Commission?

閣下, 此戶口最終實益擁有人, 是否為證券及期貨事務監察委員會的持牌/註冊證券或期貨從業員, 或其持牌/註冊機構 (如經紀行/銀行等)之董事或員工?

- No 否  Yes 是: Name of the licensed / registered person(s) 持牌/註冊人士姓名: \_\_\_\_\_

Do you, the ultimate beneficial owner(s) of the account, have any relationship with the director(s) or employee(s) of **Get Nice Securities Limited** or any of its group companies?閣下, 此戶口最終實益擁有人, 是否與**結好證券有限公司**或其集團公司之董事或員工有親屬關係?

- No 否  Yes 是: Name of director or employee 董事或員工的姓名: \_\_\_\_\_ Relationship 關係: \_\_\_\_\_

**Related Margin Account(s) Information 相關保證金帳戶資料 (Only Applicable to Margin Account 只適用於保證金帳戶)**Does your spouse have a margin account with **Get Nice Securities Limited**? 閣下的配偶是否持有**結好證券有限公司**的保證金證券帳戶?

- No 否  Yes 是: Name of spouse 配偶姓名: \_\_\_\_\_ Account No. 戶口號碼: \_\_\_\_\_

Do you control, either alone or with your spouse, 35% or more of the voting rights of another margin client of **Get Nice Securities Limited**?閣下是否單獨或與配偶共同控制**結好證券有限公司**其他保證金客戶之百分之三十五或以上的投票權?

- No 否  Yes 是: Name of spouse 配偶姓名: \_\_\_\_\_ Name of relevant company 有關公司名稱: \_\_\_\_\_

Is any of your group company a margin client of **Get Nice Securities Limited**?閣下是否有任何集團公司為**結好證券有限公司**的保證金客戶?

- No 否  Yes 是: Name of relevant company 有關公司名稱: \_\_\_\_\_

**Knowledge on Derivative Products and Trading Experience 衍生產品知識分析及投資經驗**

1. Have you undergone training or attended any courses or seminars on derivative product(s)?

你有沒有參加過有關衍生產品的課程或培訓?

- Yes 有  No 沒有

2. Do you have any working experience related to derivative product(s)?

你有沒有有關衍生產品的工作經驗?

- Yes 有  No 沒有

3. Have you traded five or more transactions within the past three years in derivative product(s)?

你有沒有於過去三年進行過五次或以上有關衍生產品的交易?

- Yes 有  No 沒有

**U.S. FATCA Identity Declaration 美國《海外帳戶稅收合規法案》身份聲明**

Do you (Primary or Secondary Applicant) possess any of the following U.S. indicia? 閣下(主要或第二申請人)是否擁有以下任何一項美國指標?

1.	U.S. citizenship/tax residency (e.g. U.S. passport/Green Card holder, U.S. taxpayer, etc.) 美國公民 / 繳納美國稅務的居民 (如美國護照 / 綠卡持有者、美國納稅人等)	<input type="checkbox"/> Yes 是 / <input type="checkbox"/> No 否
2.	U.S. place of birth 美國出生地	<input type="checkbox"/> Yes 是 / <input type="checkbox"/> No 否
3.	U.S. address (e.g. residential address, mailing address, P.O. box) 美國地址 (如居住地址、郵寄地址、郵政信箱)	<input type="checkbox"/> Yes 是 / <input type="checkbox"/> No 否
4.	U.S. telephone number (e.g. home, work, mobile, fax numbers) 美國電話號碼 (如住宅、工作、手機、傳真號碼)	<input type="checkbox"/> Yes 是 / <input type="checkbox"/> No 否
5.	Standing instructions to pay amounts from Get Nice Securities Limited to an account maintained in the US 從結好證券有限公司向某美國銀行賬戶發出重覆的款項轉賬指示	<input type="checkbox"/> Yes 是 / <input type="checkbox"/> No 否
<b>U.S. FATCA Identity Declaration U.S. FATCA 身份聲明 (Please Select One Only 請選擇一項)</b>		Primary Applicant / Secondary Applicant 主要申請人 / 第二申請人
(a)	Non-U.S. Individual(s) with <b>no US indicia</b> 非美國人士, 及沒有美國指標	<input type="checkbox"/> / <input type="checkbox"/>
(b)	Non-U.S. Individual(s) with <b>US indicia</b> 非美國人士, 但具有美國指標	<input type="checkbox"/> / <input type="checkbox"/>
(c)	U.S. Individual(s) 美國人士	<input type="checkbox"/> / <input type="checkbox"/>

**Automatic Exchange of Financial Account Information Declaration 自動交換財務帳戶資料聲明**

- For the purpose of automatic exchange of financial account information. The data collected may be transmitted by Get Nice Securities Limited ("Get Nice") to the Inland Revenue Department for transfer to the tax authority of another jurisdiction. Account holder should report all changes in his/her tax residency status to Get Nice. Please complete this declaration carefully. Please refer to the information on the website of Hong Kong Inland Revenue Department [http://www.ird.gov.hk/eng/tax/dta\\_aeoi.htm](http://www.ird.gov.hk/eng/tax/dta_aeoi.htm) or the website of OECD <http://www.oecd.org/tax/automatic-exchange/> and consult your tax, legal and/or other professional advisers if you have any questions on or in relation to AEOI or this declaration. 以作自動交換財務帳戶資料用途。結好證券有限公司(「結好」)可把收集所得的資料交給稅務局, 稅務局會將資料轉交到另一稅務管轄區的稅務當局。如帳戶持有人的稅務居民身分有所改變, 應盡快將所有變更通知結好。請小心填寫本聲明。如閣下對於自動交換財務帳戶資料(AEOI) 或本聲明有任何疑問, 請查詢香港稅務局網站 [http://www.ird.gov.hk/chi/tax/dta\\_aeoi.htm](http://www.ird.gov.hk/chi/tax/dta_aeoi.htm) 或 OECD 網站 <http://www.oecd.org/tax/automatic-exchange/> 及諮詢閣下之稅務、法律及 / 或其他專業顧問。

WARNING: It is an offence under section 80(2E) of the Inland Revenue Ordinance if any person, in making the declaration, makes a statement that is misleading, false or incorrect in a material particular AND knows, or is reckless as to whether, the statement is misleading, false or incorrect in a material particular. A person who commits the offence is liable on conviction to a fine at level 3 (i.e. HK\$10,000). 警告: 根據《稅務條例》第 80(2E)條, 如任何人在作出聲明時, 在明知一項陳述在要項上屬具誤導性、虛假或不正確, 或罔顧一項陳述是否在要項上屬具誤導性、虛假或不正確下, 作出該項陳述, 即屬犯罪。一經定罪, 可處第 3 級 (即 HK\$10,000) 罰款。

**Jurisdiction of Residence and Taxpayer Identification Number or its Functional Equivalent ("TIN")****居留司法管轄區及稅務編號或具有等同功能的識辨編號 (簡稱「稅務編號」)**

Complete the following table indicating (a) the jurisdiction of residence (including Hong Kong) where the account holder is a resident for tax purposes and (b) the account holder's TIN for each jurisdiction indicated. Indicate all (not restricted to three) jurisdictions of residence.

提供以下資料, 列明 (a) 帳戶持有人的居留司法管轄區, 亦即帳戶持有人的稅務管轄區 (香港包括在內) 及 (b) 該居留司法管轄區發給帳戶持有人的稅務編號。列出所有 (不限於 3 個) 居留司法管轄區。

If account holder is a tax resident of Hong Kong, the TIN is the Hong Kong ID Number. 如帳戶持有人是香港稅務居民, 稅務編號是其香港身份證號碼。

If a TIN is unavailable, provide the appropriate reason A, B or C: 如沒有提供稅務編號, 必須填寫合適的理由:

Reason A – The jurisdiction where the account holder is a resident for tax purposes does not issue TINs to its residents.

理由 A – 帳戶持有人的居留司法管轄區並沒有向其居民發出稅務編號。

Reason B – The account holder is unable to obtain a TIN. Explain why the account holder is unable to obtain a TIN.

理由 B – 帳戶持有人不能取得稅務編號。解釋帳戶持有人不能取得稅務編號的原因。

Reason C – TIN is not required. Select this only if the authorities of the jurisdiction of residence do not require the TIN to be disclosed.

理由 C – 帳戶持有人毋須提供稅務編號。居留司法管轄區的主管機關不需要帳戶持有人披露稅務編號。

**Primary Applicant 主要申請人**

Jurisdiction of Residence 居留司法管轄區	TIN 稅務編號	Reason A, B, or C if no TIN is available 如沒有稅務編號, 填寫理由 A、B 或 C	Explain why the account holder is unable to obtain a TIN if selected Reason B 如選取理由 B, 解釋不能取得稅務編號原因
1.			
2.			
3.			

**Secondary Applicant (For Joint Account) 第二申請人 (適用於聯名戶口)**

Jurisdiction of Residence 居留司法管轄區	TIN 稅務編號	Reason A, B, or C if no TIN is available 如沒有稅務編號, 填寫理由 A、B 或 C	Explain why the account holder is unable to obtain a TIN if selected Reason B 如選取理由 B, 解釋不能取得稅務編號原因
1.			
2.			
3.			

**Applicant(s) Declaration 申請人聲明**

I / We represent that the information on this Account Opening Form is true, complete and correct and that the representations in the attached "Terms and Conditions for Securities Trading" of **Get Nice Securities Limited** (including the "Risk Disclosure Statement") (collectively "Agreement") are accurate. Information on this Account Opening Form and representations in the Agreement are collectively referred as the "Account Opening Information". **Get Nice Securities Limited** ("GNS") is entitled to rely fully on such Account Opening Information for all purposes, unless GNS receives notice in writing of any change. GNS is authorized at any time to contact anyone, including but without limitations to my / our banks, brokers or any credit agency, for purposes of verifying the Account Opening Information.

All transactions to be concluded with or through GNS shall be subject to the terms and conditions of the Agreement. By signing below, I / we confirm that I / we have read through and understood all the terms and conditions set out in the Agreement, and agree to adhere to and accept all the arrangements and relevant terms and conditions as set out in the Agreement, in particular the section entitled "Risk Disclosure Statement" and shall be bound by the Agreement as it may be amended from time to time.

I / We hereby agree that GNS may use and/or disclose my/our personal information in accordance with Personal Information Collection Statement and acknowledge(s) that I / we have read and understood the said Statement. I / We understand that GNS has the right to opt-out of the use of my / our personal information in accordance with the option set out below.

(Please tick the appropriate box below)

- I / We agree Get Nice Securities Limited to use my / our personal data for direct marketing purposes.  
 I / We do not agree Get Nice Securities Limited to use my / our personal data for direct marketing purposes.



本人 / 吾等茲聲明在本開戶申請書內的資料屬實、完整及正確，結好證券有限公司的<<證券買賣條款及細則>> (包括<<風險披露聲明>>) (統稱為「協議」) 內的一切申述準確。本開戶申請書內的資料及「協議」內的申述，以下統稱為「開戶資料」。除非結好證券有限公司(以下簡稱為「結好證券」) 接到更改有關「開戶資料」內容的書面通知，結好證券有權在任何用途上完全依賴這些「開戶資料」。結好證券有權隨時聯絡任何人，包括但不限於本人 / 吾等之銀行、經紀或任何信貸調查機構，以求證實「開戶資料」內所載之內容。

所有由結好證券與/或透過結好證券進行之交易均受「協議」之條文限制。於下方簽署乃確認本人 / 吾等已細閱及明白「協議」所列明的各項條款及條件，及同意遵守及接受「協議」一切列明的安排及有關之條款及條件內容(尤其「風險披露聲明」一節)，並接受該等現時有效及不時修改的條文約束。

本人 / 吾等同意結好證券可根據其個人資料收集聲明，使用及 / 或披露本人 / 吾等之個人資料。本人 / 吾等確認已閱讀及明白附隨的此聲明。本人 / 吾等明白結好證券有權根據以下選擇拒絕本人 / 吾等個人資料被用於下列用途。

- 本人 / 吾等同意結好證券有限公司使用本人 / 吾等個人資料作直接促銷之用。  
 本人 / 吾等不同意結好證券有限公司使用本人 / 吾等個人資料作直接促銷之用。

**Applicant(s) Signature 申請人簽署**

 Individual / Primary Applicant's Signature 個人 / 主要申請人簽署	 Secondary Applicant's Signature (For Joint Account) 第二申請人簽署 (適用於聯名戶口)
Name 姓名 ( Please use block letter 請使用正楷 )	Name 姓名 ( Please use block letter 請使用正楷 )
Date 日期	Date 日期

**Risk Declaration 風險聲明 { to be completed by Get Nice Securities Limited 此欄由結好證券有限公司填寫 }****Declaration by Licensed Person 持牌人士聲明 :**

I confirm that I have provided the Risk Disclosure Statement contained herein in language (English or Chinese) of the Client's choice and that I have invited the Client to read the Risk Disclosure Statement, ask questions and take independent advice, if the Client wishes.

本人確認已經以客戶選擇的語言 (英文或中文) 提供風險披露聲明，並且本人已經邀請客戶閱讀該風險披露聲明，提出問題及尋求獨立的意見 (如客戶有此意願)。

Signature of Licensed Person 持牌人士簽署 : \_\_\_\_\_ CE No. 中央編號 : \_\_\_\_\_  
 Name of Licensed Person 持牌人士姓名 : \_\_\_\_\_ Date 日期 : \_\_\_\_\_

**For Office Use Only:**

Commission Rate: \_\_\_\_\_ (%) Initial Recommended Credit Limit: \_\_\_\_\_  
 Interest Rate: \_\_\_\_\_ (%) Approved By: \_\_\_\_\_

**Standing Authority for Margin Client 適用於保證金客戶之常設授權**

1. This standing authority relates to the meaning of section 4(1) of the Securities and Futures (Client Securities) Rules. 此常設授權關於證券及期貨(客戶證券)規則第4(1)條。
2. This standing authority relates to all securities and securities collateral from time to time received or held by Get Nice Securities Limited ("GNS") on the Margin Client behalf and held by GNS in any account maintained by the Margin Client with GNS, and authorizes GNS, in GNS's sole discretion, without having to provide the Margin Client with any notice or to obtain the Margin Client's prior confirmation and/or direction to: 此常設授權有關結好證券有限公司(「結好」)代保證金客戶不時收取或持有的證券及證券抵押品並存入保證金客戶與結好開立的戶口；及授權結好按其酌情而無須先給予保證金客戶任何通知或取得保證金客戶的確認或指示：
  - (a) deposit any of the Margin Client's securities and securities collateral with an authorized financial institution as collateral for financial accommodation provided to GNS; 將任何保證金客戶的證券及證券抵押品存放於認可財務機構作為提供結好的財務通融抵押品；
  - (b) deposit any of the Margin Client's securities and securities collateral with the recognized clearing house or another intermediary licensed or registered for dealing in securities as collateral for the discharge and satisfaction of GNS's settlement obligations and liabilities; 將任何保證金客戶的證券及證券抵押品存放於認可結算所或另一獲發牌或獲註冊進行證券交易的中介人，作為解除結好在交收上的義務和清償結好在交收上的法律責任的抵押品；
  - (c) apply any of the Margin Client's securities and securities collateral pursuant to a securities borrowing and lending agreement in accordance with the Securities and Futures (Client Securities) Rules; and 根據證券及期貨(客戶證券)規則按證券借貸協議運用任何保證金客戶的證券及證券抵押品；及
  - (d) treat and deal with the Margin Client's securities and securities collateral in such manners as GNS considers appropriate taking into account any applicable legal or regulatory requirements from time to time. 於顧及任何不時適用的法律或監管要求的情況下，以結好認為適當的方式對待及處理保證金客戶的證券及證券抵押品。
3. This standing authority shall be valid for a period commencing from the date of GNS's approval to this authority up to and including the last day of the eleventh (11<sup>th</sup>) month next after the date of such approval and shall be deemed to have been renewed for subsequent period not exceeding 12 months with the same terms and conditions as specified in this standing authority either with the Margin Client's written consent or if the Margin Client does not object to renewal before its expiry given that GNS has given at least 14 days prior written notice reminding the Margin Client of its impending expiry. The Margin Client shall be regarded as having given the standing authority to GNS. 此常設授權可於結好批准本授權書當天開始直至及包括隨後第十一個月份的最後一天的期間有效，惟可於授權屆滿時由保證金客戶書面同意下按相同之條款及條件續期不得超過十二個月或保證金客戶接獲結好在該授權的有效期限滿的十四日之前的書面通知，提醒保證金客戶該授權的有效期限即將屆滿，並通知保證金客戶除非保證金客戶提出反對，否則該授權會在屆滿時按該授權指明的相同條款及條件續期，保證金客戶沒有在該授權屆滿前反對該授權續期，該授權將被視為已續期。
4. The Margin Client hereby agrees to indemnify, and to keep indemnified GNS from and against all losses, damages, interests, costs, expenses, actions, demands, claims or proceedings of whatsoever nature which may incur, suffer and/or sustain as a consequence of any transaction undertaken pursuant to this authority. 保證金客戶謹此同意就結好因執行上述授權而可能產生，蒙受及/或承受的一切虧損、損失、利息、費用、開支、法律訴訟、付款要求、索償程序等等向結好作出賠償，並保障結好免受損害。
5. This standing authority may be revoked by the Margin Client at any time by serving on GNS to which it was originally given, written notice to that effect. Such revocation shall not take effect until actual receipt by GNS of such written notice and shall not effect any transaction undertaken by GNS pursuant to this authority prior to such revocation taking effect. The Margin Client understands that GNS reserves the right to cease to provide the relevant facilities to the Margin Client if GNS is of the opinion that the absence of the authority makes GNS not practicable to continue to provide the facilities. 保證金客戶可於任何時候以書面向給予結好此授權提出撤銷，並得到結好之認可，確定已收到此通知，方能生效。然而在結好收到撤銷的書面通知之前，任何結好按本授權所達成的任何交易均不受該項撤銷所影響。保證金客戶明白倘若結好認為缺乏該項授權會導致結好無法切實可行地提供有關服務，則結好可保留終止有關服務的權利。
6. The Margin Client fully understands the contents of this authority and has sought, or has had an opportunity to seek legal advice concerning its contents and effect. 保證金客戶完全明白此授權的內容，並已經或有機會就其內容及效力尋求法律顧問的意見。

Standing Authority: I/We hereby acknowledge and confirm that I/we have authorized Get Nice Securities Limited to deal with securities and securities collateral in accordance with the terms and conditions above and the contents of this authority have been explained to me/us and I/we understand the conditions of this authority.

常設授權: 本人/吾等謹此承認並確定本人/吾等已經根據常設授權條款和條件內授權結好證券有限公司處置在條款和條件內所定義的證券及證券抵押品，並具本人/吾等就這條約的內容已獲得解釋，及本人/吾等明白這條約的內容。



.....  
Individual / Primary Holder's Signature (For Margin Account Only)  
個人 / 主要持有人簽署 (適用於保證金戶口)  
Authorized Signature(s) / Company Chop 獲授權人士簽署 / 公司蓋章

Name 姓名: .....

Date 日期: .....



.....  
Secondary Holder's Signature (For Joint Margin Account Only)  
第二持有人簽署 (適用於聯名保證金戶口)  
Authorized Signature(s) / Company Chop 獲授權人士簽署 / 公司蓋章

Name 姓名: .....

Date 日期: .....