

**Addendum in relation to Hong Kong Investor Identification and Over-the-Counter Securities Transactions Reporting Regime**  
**香港投資者識別碼及場外證券交易匯報制度相關之附錄**

The terms and conditions in this Addendum are in addition to and form part of the “Terms and Conditions for Securities Trading” governing the account relationship between the Client and Get Nice Securities Limited (“GNS”) and in the event of any discrepancy in relation to subject matter of this Addendum, the terms and conditions in this Addendum shall prevail. Unless otherwise defined, capitalized terms used in this Addendum shall have same meaning as defined in the “Terms and Conditions for Securities Trading” governing the account relationship between the Client and GNS.

本附錄內的條款及細則附加於約束客戶及結好證券有限公司(「結好」)之間的賬戶關係的「證券買賣條款及細則」，而且如兩者有歧異，以本附錄內的條款為準。除非另行定義，本附錄中使用的詞彙即視為與約束客戶及結好之間賬戶關係的「證券買賣條款及細則」中定義的相同涵義。

**Processing of Personal Data as part of Trading on the Stock Exchange of Hong Kong**  
**處理個人資料作為於香港聯合交易所交易的一部分**

The Client acknowledge and agree that GNS may collect, store, process, use, disclose and transfer personal data relating to the Client (including the Client's CID and BCAN(s)) as required for GNS to provide services to the Client in relation to securities listed or traded on the Stock Exchange of Hong Kong (SEHK) and for complying with the rules and requirements of SEHK and the Securities and Futures Commission (SFC) in effect from time to time. Without limiting the foregoing, this includes:

客戶明白並同意，結好為了向客戶提供與在香港聯合交易所（聯交所）上市或買賣的證券相關的服務，以及為了遵守不時生效的聯交所與證券及期貨事務監察委員會（證監會）的規則和規定，結好可收集、儲存、處理、使用、披露及轉移與閣下有關係的個人資料（包括客戶的客戶識別信息及券商客戶編碼）。在不限制以上的內容的前提下，當中包括：

1. Disclosing and transferring the Client's personal data (including CID and BCAN(s)) to SEHK and/or SFC in accordance with the rules and requirements of SEHK and SFC in effect from time to time;  
根據不時生效的聯交所及證監會規則和規定，向聯交所及／或證監會披露及轉移客戶的個人資料（包括客戶識別信息及券商客戶編碼）；
2. Allowing SEHK to: (i) collect, store, process and use the Client's personal data (including CID and BCAN(s)) for market surveillance and monitoring purposes and enforcement of the Rules of the Exchange of SEHK; and (ii) disclose and transfer such information to the relevant regulators and law enforcement agencies in Hong Kong (including, but not limited to, SFC) so as to facilitate the performance of their statutory functions with respect to the Hong Kong financial markets; and (iii) use such information for conducting analysis for the purposes of market oversight; and  
允許聯交所：(i) 收集、儲存、處理及使用客戶的個人資料（包括客戶識別信息及券商客戶編碼），以便監察和監管市場及執行《聯交所規則》；(ii) 向香港相關監管機構和執法機構（包括但不限於證監會）披露及轉移有關資料，以便他們就香港金融市場履行其法定職能；及 (iii) 為監察市場目的而使用有關資料進行分析；及

3. Allowing SFC to: (i) collect, store, process and use the Client's personal data (including CID and BCAN(s)) for the performance of its statutory functions including monitoring, surveillance and enforcement functions with respect to the Hong Kong financial markets; and (ii) disclose and transfer such information to relevant regulators and law enforcement agencies in Hong Kong in accordance with applicable laws or regulatory requirements.

允許證監會：(i) 收集、儲存、處理及使用客戶個人資料（包括客戶識別信息及券商客戶編碼），以便其履行法定職能，包括對香港金融市場監管、監察及執法職能；及(ii) 根據適用法例或監管規定向香港相關監管機構和執法機構披露及轉移有關資料。

4. Providing BCAN to Hong Kong Securities Clearing Company Limited (HKSCC) allowing HKSCC to: (i) retrieve from SEHK (which is allowed to disclose and transfer to HKSCC), process and store your CID and transfer your CID to the issuer's share registrar to enable HKSCC and/ or the issuer's share registrar to verify that you have not made any duplicate applications for the relevant share subscription and to facilitate IPO balloting and IPO settlement; and (ii) process and store your CID and transfer your CID to the issuer, the issuer's share registrar, the SFC, SEHK and any other party involved in the IPO for the purposes of processing your application for the relevant share subscription or any other purpose set out in the IPO issuer's prospectus.

向香港中央結算有限公司（香港結算）提供券商客戶編碼以允許香港結算：(i)從聯交所取得、處理及儲存允許披露及轉移給香港結算屬於您/ 汝等的客戶識別信息，及向發行人的股份過戶登記處轉移您/ 汝等的客戶識別信息，以便核實您/ 汝等未就相關股份認購進行重複申請，以及便利首次公開招股抽籤及首次公開招股結算程序；及(ii)處理及儲存您/ 汝等的客戶識別信息，及向發行人、發行人的股份過戶登記處、證監會、聯交所及其他公開招股的有關各方轉移您/ 汝等的客戶識別信息，以便處理您/ 汝等對有關股份認購的申請，或為載於公開招股發行人的招股章程的任何其他目的。

The Client also agree that despite any subsequent purported withdrawal of consent by the Client, the Client's personal data may continue to be stored, processed, used, disclosed or transferred for the above purposes after such purported withdrawal of consent. Failure to provide GNS with the Client's personal data or consent as described above may mean that GNS will not, or will no longer be able to, as the case may be, carry out the Client's trading instructions or provide the Client with securities related services (other than to sell, transfer out or withdraw the Client's existing holdings of securities, if any).

客戶亦同意，即使客戶其後宣稱撤回同意，結好在客戶宣稱撤回同意後，仍可繼續儲存、處理、使用、披露或轉移閣下的個人資料以作上述用途。客戶如未能向結好提供個人資料或上述同意，可能意味著結好不會或不能夠再（視情況而定）執行閣下的交易指示或向客戶提供證券相關服務，惟出售、轉出或提取客戶現有的證券持倉（如有）除外。

Note: The terms "BCAN" and "CID" used in this clause shall bear the meanings as defined in paragraph 5.6 of the Code of Conduct for Persons Licensed by or Registered with the Securities and Futures Commission.

備註：本條文所述的“券商客戶編碼”及“客戶識別信息”具有《證券及期貨事務監察委員會持牌人或註冊人操守準則》第 5.6 段所界定的含義。