

重要通知 - 場外證券交易匯報制度推出安排

根據證監會網站公佈，場外證券交易匯報制度將於 2023 年 9 月 25 日實施，在場外證券交易匯報制度下，結好證券有限公司須為客戶在聯交所上市的普通股及房地產投資信託基金並且沒有被聯交所記錄為自動對盤交易指令或無須向聯交所匯報為非自動對盤交易的交易進行股份轉移時，以及實體股票證書的存放或提取，向證監會匯報相關資料，惟以下情況除外：

- (a) 該項交易獲稅務局給予印花稅寬免（不論是全數或部分數額），或
- (b) 股份轉移乃根據結構性產品或衍生工具的條款或因將預托證券轉換為股份（反之亦然）。

就此，結好證券有限公司需要向客戶收集最新的客戶識別信息，並向證監會提交一切不時要求索取關於客戶之識別信息。若客戶有任何身份資料的改變或更新，請通知我們及提供相關證明文件。

Important Notice - Arrangements for the launch of the Over-the-Counter Securities Transactions Reporting Regime

As announcements from SFC website, Over-the-Counter Securities Transaction Reporting Regime (OTCR) will be launched on 25 September 2023. Under the OTCR, Get Nice Securities Limited is required to report to the SFC when a transfer of shares in connection with a transaction not recorded by SEHK as an on-exchange order or required to be reported to SEHK as an off-exchange trade in ordinary shares and real estate investment trusts listed on SEHK as well as deposits and withdrawals of physical share certificates. Except where:

- (a) the transaction is granted stamp duty relief (whether in full or in part) from the Inland Revenue Department, or
- (b) the transfer of shares is made in accordance with the terms of a structured product or a derivative, or for the conversion of a depository receipt into shares or vice versa.

Thus, Get Nice Securities Limited is required to collect up-to-date client identification information from the client and provide to the SFC such identification information relating to the client as the SFC may request from time to time. In case of any update to the client identification information, please notify us and provide relevant supporting documents.